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NATIONWIDE HEALTH INFORMATION NETWORK PROTOTYPE PRESENTATION

CSC and the Connecting for Health Team

NATIONWIDE HEALTH INFORMATION NETWORK PROTOTYPE DEMONSTRATION

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NATIONWIDE HEALTH INFORMATION NETWORK PROTOTYPE PRESENTATION

"I need access to my healthcare information wherever I am."



"What do we know about this patient's medical history?

Several things could be going on."



"We have reports of a spike in the incidence of this cluster of symptoms in two other counties."







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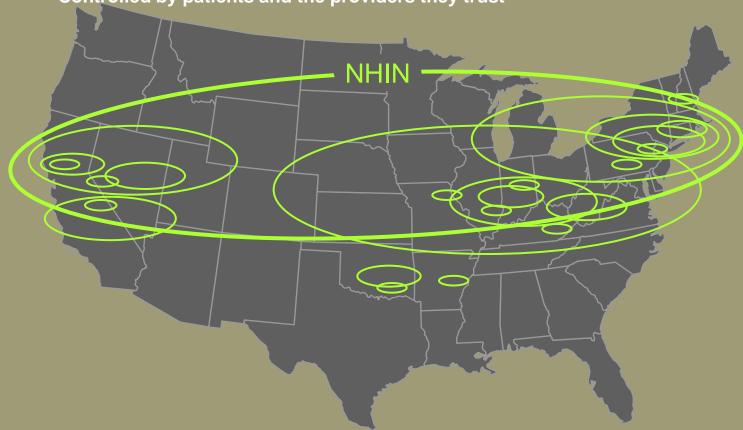
STRENGTHS OF OUR APPROACH

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TECHNICAL ARCHITECTURE

The CSC Connecting for Health Nationwide Health Information Network (NHIN)

- An open, federated and decentralized "network of networks"
 - Connecting regional and non-geographic communities and services
- Protected by sound policies for how data is shared
 - Controlled by patients and the providers they trust





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A Flexible Approach That Meets the Objectives of the Nation's Strategic Framework for Health IT

- Improve quality of care
- Reduce medical errors
- Lower costs
- Empower patients
- Improve public health information monitoring



Patient access to personal records



Portable electronic health records

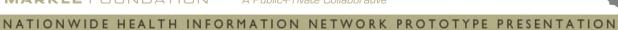


Better biosurveillance of outbreaks and alerts about threats





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Definitions

Nationwide Health Information Network

Network of subnetwork organizations

Common Framework

- A set of specific, critical tools, including:
 - Technical standards for exchanging clinical information
 - Explicit policies for how information is handled
 - Uniform methods for linking information accurately and securely

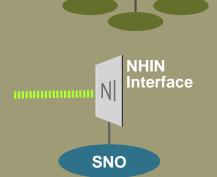
Subnetwork Organization (SNO)

- A group of entities (e.g., clinics, hospitals, labs, etc.)
 that agree to exchange clinical data with each other
 using shared policies and technological standards
- Regional health information organizations (RHIOs) and nongeographic networks (e.g., DOD, VA, Kaiser, etc.)

NHIN Interface

- Common service for messages
- Encapsulates secure, reliable, audited, standards-based messages,





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The Connecting for Health Team **NHIN Architecture**

- Recognizes that diverse local or regional networks need to be connected to each other and to non-geographic data sources
- Brings interoperability without mandating extensive IT change
- No centralized data
- No central operator **NHIN** Interface SNOs set their own agendas SNO **SNO** Ni N Non-Geographic SNO **SNO**













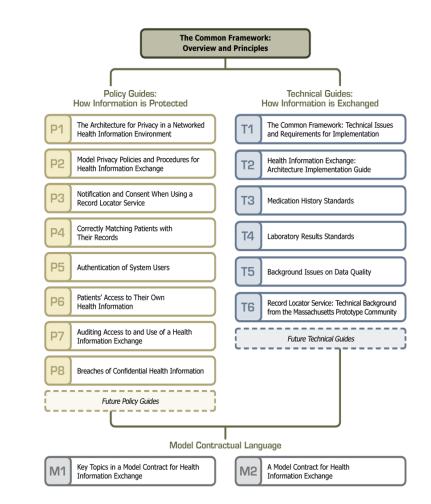
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The Connecting for Health Common Framework Policies and Standards



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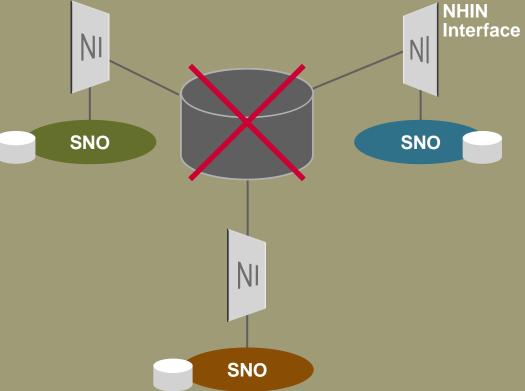
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The Connecting for Health Common Framework Technology Principles

- Make it "Thin"
- Avoid "rip and replace"
- Separate applications from the network
- Decentralization
- Federation
- Flexibility
- Privacy and security
- Accuracy













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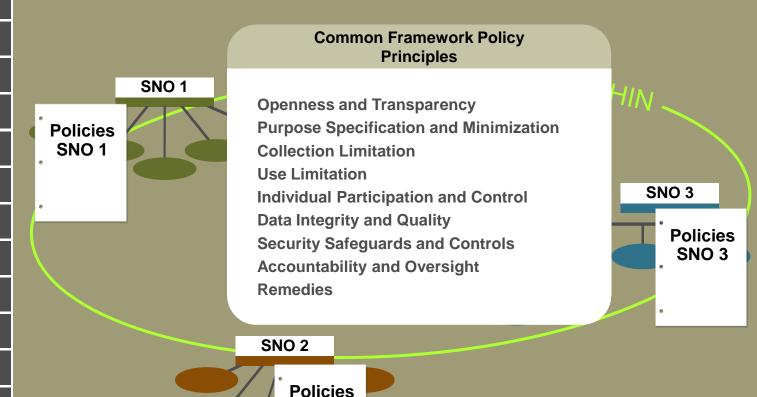
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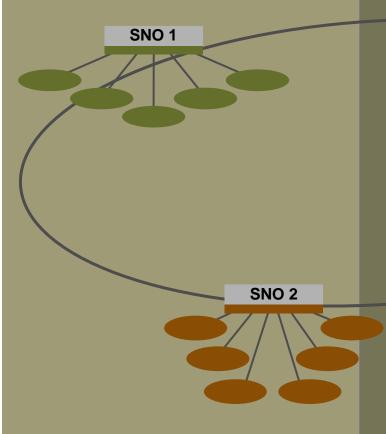
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Messages:

HL7: Dominant Health Information Exchange Standard

v3.0

v2.5

v2.4

v2.3.1

v2.3

NCPDP

Pharmacy

Electronic Prescription

ASC X12

Administrative

HIPAA

Data:

SNO 3

HL7 RIM 2–Based Vocabulary Domains

LOINC

SNOMED-CT

ICD9 CPT

Metadata:

XML Schema

Web Services:

SOAP 1.1

WSDL 1.1

UDDI 2.0

WS-I Basic Profile

Transport:

HTTPS Encryption



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Few Technical Services Requirements

Record Locator Service (RLS)

- Authorized lookup of patient data locations
- Index to data locations; NO clinical data

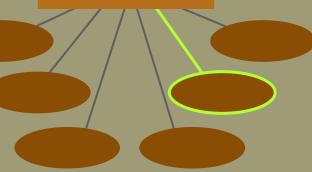
Inter-SNO Bridge (ISB)

- Implementation of the NHIN interface (NI)
- Connects diverse data sources, networks, and data types (including labs, medication history, PHR data, immunizations)
- Avoids replacing existing "edge" systems
- Formats the data into standard HL7 messages
- Transmits data securely



Query HL7 v2 xml

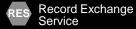
Clinical Data















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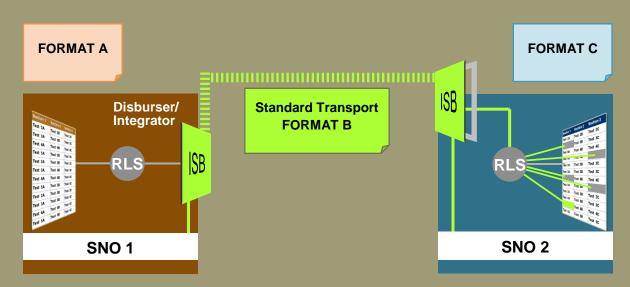
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Inter-SNO Communication Using the Common Framework

- A SNO gueries other SNOs when it knows:
 - An institution where the patient received care
 - A region where the patient received care
- Queries are sent to the local RLS and ISB
- Local ISB converts query to common standard and sends to remote ISBs
 - Same query format for all remote SNOs, needing only location of other **ISBs**
- Remote ISB looks up patient data locations in remote RLS
- Remote ISB retrieves data, converts to common standard, and transmits to requesting ISB if appropriate authorizations are in place

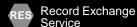
















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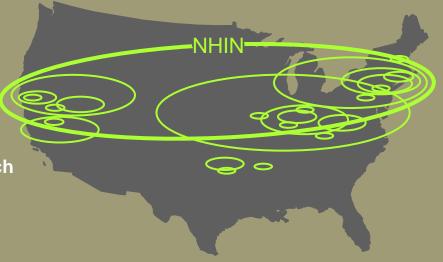
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Benefits of Our Approach

- Recognizes and handles diversity in subnetwork organizations
- Low barrier, easy to get on the network
 - Low initial costs
 - Easy to ramp up later
- Like the Internet, requires no "top-level" operator or control
- Implements a common set of privacy policies across the network
 - Built on lines of actual human trust
 - No nationwide query
 - Queries are targeted and authorized among trusted parties (no "fishing")
- Practical, operational approach
 - Standards are already in widespread use





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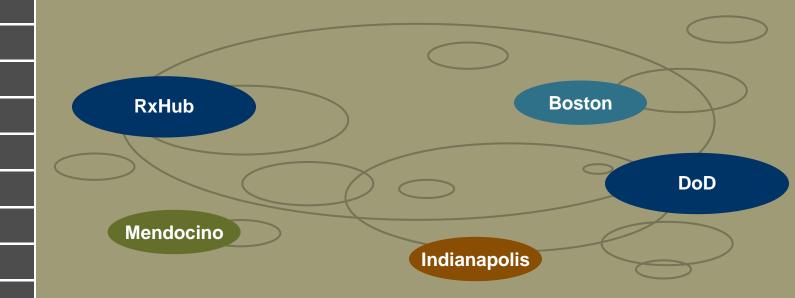
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TECHNICAL ARCHITECTURE

Whether Regional or Non-Geographic, Each SNO Is Unique

- Business model
- Technical architecture and set of services
- Participating enterprises
- Regulatory environment
- Healthcare market influences





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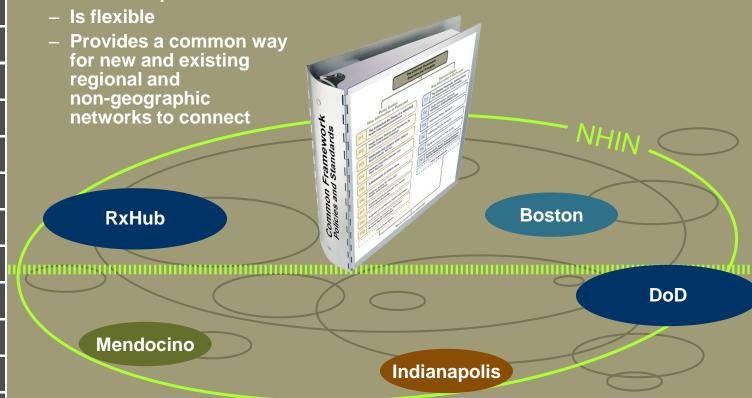
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Our Approach Accommodates Today's Diversity

- Regardless of unique SNO features, strategies, and business models
- Regardless of each network's underlying technology and applications
- The Common Framework:
 - Accommodates more stringent information policies if desired
 - Leaves implementation decisions in the hands of the SNOs





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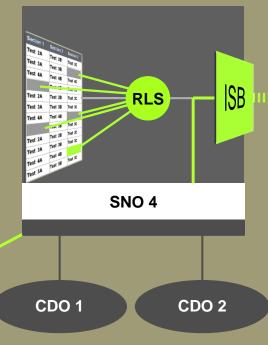
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Participation Is Easy — Low Barrier to Entry

- Most existing SNOs already have a index or RLS
- Inter-SNO bridge can be developed or purchased from a third party to provide a NHIN interface
- Care delivery organizations (CDOs) can easily join an existing SNO



CDO 3

Care Delivery

Organization (CDO)











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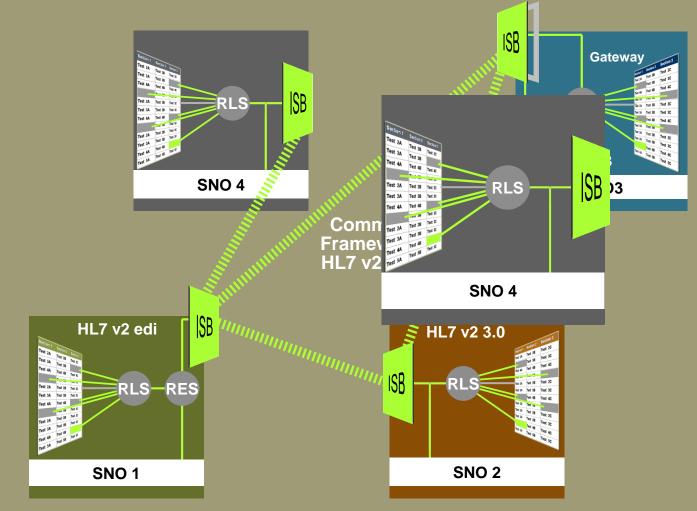


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TECHNICAL ARCHITECTURE Once in a SNO, Organizations Have Easy Access Beyond Their SNO's Boundaries



















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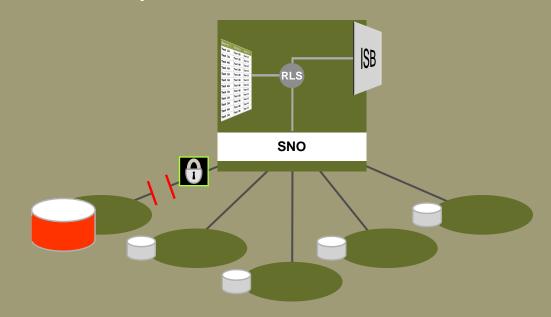
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TECHNICAL ARCHITECTURE

Policy-Driven Architecture

- No central repositories
 - Clinical and identity information maintained and secured at network edge
- ISB handles authorized exchange of patient data with other SNOs
- RLS maintains index to clinical data, not the data itself
 - Index only; NO clinical data
 - Clinical data is secured at participating organizations
 - · Breaches are contained locally













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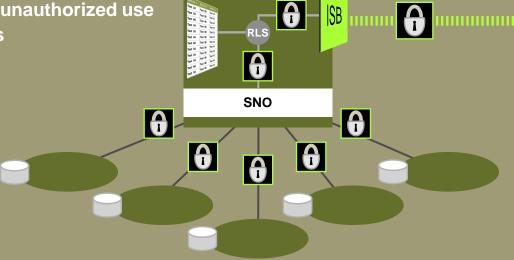
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TECHNICAL ARCHITECTURE

Privacy is Built on Lines of Human Trust

- No central repositories
 - Clinical and identity information maintained and secured at network edge
- ISB handles authorized exchange of patient data with other SNOs
- RLS maintains index to clinical data, not the data itself
 - Index only; NO clinical data
 - Clinical data is secured at participating organizations
 - Breaches are contained locally
- Patients and providers decide what data is shared and when, based on established lines of trust
- Data is secured from unauthorized use
- No nationwide queries













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TECHNICAL ARCHITECTURE

Privacy Is Maintained Even as Information is Shared

Reporting to public health agencies is de-identified or done with a pseudonym depending on what is authorized



Randomized Data Linker

Pseudonym: 74639205756976209

Hospital Medical Record Account Number

Chief Complaint

ED Arrival Date

ED Arrival Time

ED Discharge Date

ED Discharge time

Admit Date

Admit Time

Birth Date

Address

City, State/Province

Country

Gender

Disposition

ICD9



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TECHNICAL ARCHITECTURE

Practical Operational Approach

- Uses open, existing standards
- Leverages existing network and IT investments
- Vendor and platform neutral
- Promotes widespread adoption
- Provides platform for innovation

Development Standards Supported:























X12N

HL7 Standards

- Data Models
- Version 3.0
- Version 2.5
- Version 2.4
- Version 2.3.1
- Version 2.3
- Clinical Context Object Workgroup (CCOW) Specifications
- Arden Syntax
- Clinical Document Architecture (CDA)
- HL7 Informative Documents
- HL7 Administrative Documents



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Use Cases



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TECHNICAL ARCHITECTURE

Consumer Empowerment

Feature

Patients verify and maintain their demographic information and have access to medical history

Benefit

Electronic "clipboard" follows consumer and informs clinicians



Electronic Health Record

Providers access and update clinical information; share authorized lab results and interpretations

Improves patient care and reduces redundant testing



Biosurveillance

Public health agencies receive authorized reports of emergency room and lab data

Integrates monitoring with delivery of care





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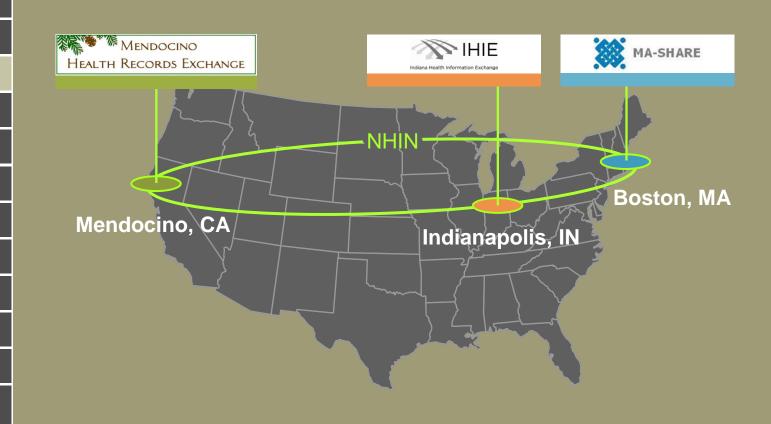
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Our Healthcare Markets

- Geographically dispersed
- Demographically and technically diverse
- Good test-beds for a flexible solution





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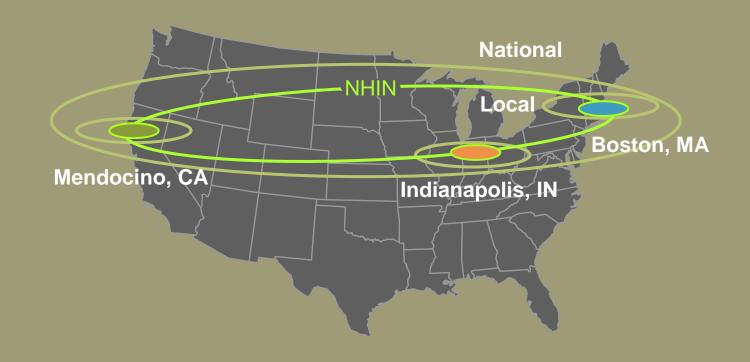
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Our Model Reaches Beyond Our Regional Health Networks

- Subnetwork organizations (SNOs) and data exchanges
- Local public health agencies
- Non-geographic networks
 - Centers for Disease Control and Prevention (CDC)
 - RxHub





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- Median family income is 26% below California average (2003)
- Population: 88,000
- Health Records Exchange (HRE) brings together isolated communities
- Providers supported
 - Hospitals
 - Physician offices
 - Community clinics
 - County public health department



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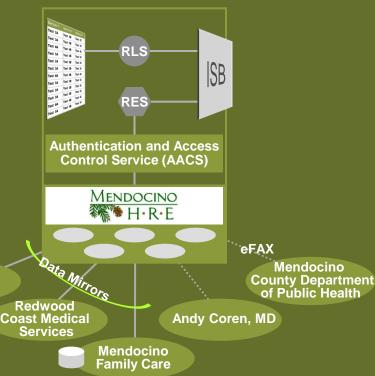
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Mendocino Health Records Exchange

- **Enterprises replicate data into mirrored** data sets at the HRE for access by Record Locator Service (RLS) and Record Exchange Service (RÉS)
- Enterprises do not connect directly with each other but exchange data via the **HRE**
- Intra-SNO security
 - Connects to the HRE/RES via HTTPS, SFTP, and VPN
- Intra-SNO data flow
 - SNO is encrypted with the HRE's certificate. Separate authentication is used for sessions, and either VPN or dedicated circuits are used for data pipes
- Inter-SNO communication via new Inter-SNO Bridge (ISB) using NHIN messaging standards









Anderson

Valley Health

Center





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- Indianapolis is an area with suburban population density
- **Population: 1,900,000**
- IHIE is a nonprofit focused on "wiring" healthcare for Indiana
- **Providers supported**
 - Hospitals
 - Physician offices
 - Community health centers
 - Independent labs
 - Public health agencies



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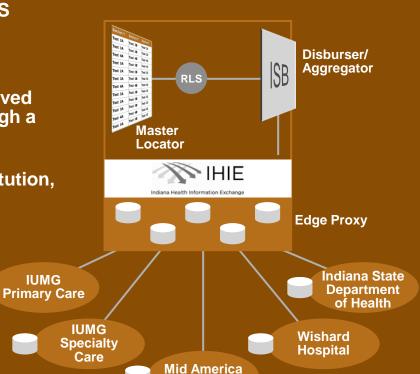
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Indiana Health Information Exchange

- **Publish patient registration (but** not clinical data) into the RLS
- Transmit clinical data into centrally managed data repositories
- Integrated patient data retrieved from data repositories through a Disburser/Aggregrator (D/A) service in the ISB
- Data is protected at the institution, patient, and service levels







IUMG



Clinical Labs





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- Boston is an area with high population density
- Population: 4,000,000
- MA-SHARE designed to support statewide clinical data exchange
- Providers supported
 - Hospitals
 - Physician offices
 - Community health centers
 - Pharmacies
 - Public health agencies



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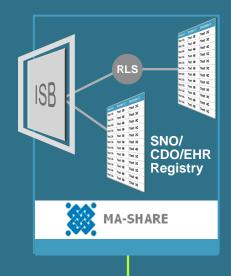
STRENGTHS OF OUR **APPROACH**

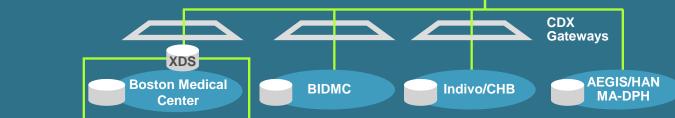
DISCUSSION

TECHNICAL ARCHITECTURE

MA-SHARE Enterprises

- Publish patient registration (but not clinical data) into the MA-SHARE RLS
- **Enterprises with gateways can:**
 - Consume Web services, expose local data as Web services, orchestrate messages, etc.
 - Connect directly to each other (peer to peer) to retrieve clinical data
- **Enterprises without gateways (e.g., AEGIS** for public health) can use the MA-SHARE gateway to connect with other enterprises (hub-spoke)
- Inter-SNO Bridge is "just another gateway"
- Intra-SNO security follows NHIN standards (certificates exchange) between enterprises with gateways













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Indianapolis

Boston

Mendocino

OUR TEAM		MENDOCINO	IHIE	MA-SHARE
OUR APPROACH		Health Records Exchange	Indiana Health Information Exchange	>>
USE CASES	Market Characteristics	Low density, rural and underserved	Urban / suburban, mid-density	Urban, high density of healthcare services
Consumer Empowerment				
Electronic Health Record	SNO	New, grant-funded collaborations	Urban / suburban, mid-density	Urban, high density of healthcare services
Biosurveillance				
HEALTHCARE MARKETS	Network Topology	Hub and spoke	Hub and spoke	Peer to peer
OUR PATIENTS				
T.J.	Internal Messaging	HL 7 v2.4	HL7 v2.4	HL7 v3.0 RIM
Dan				
Nonni	Data Storage	Data mirrored at the SNO in native format to improve service and availability	Data centrally managed by the SNO in native format	Data resides at participants (except RLS index)
SPECIAL FEATURES				
STRENGTHS OF OUR APPROACH				
DISCUSSION				
TECHNICAL ARCHITECTURE	Technology	Open Source, mixed code base	Commercial and Open Source, Java	Microsoft .NET



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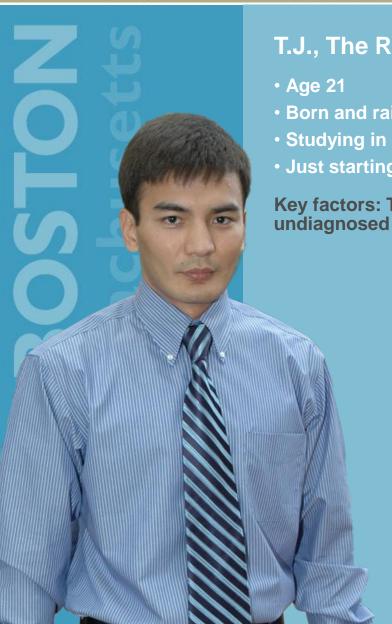
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TECHNICAL ARCHITECTURE



- Born and raised in Mendocino County, CA
- Studying in a college in the Boston area
- Just starting an internship in Indianapolis

Key factors: T.J. has moved frequently and has an undiagnosed condition



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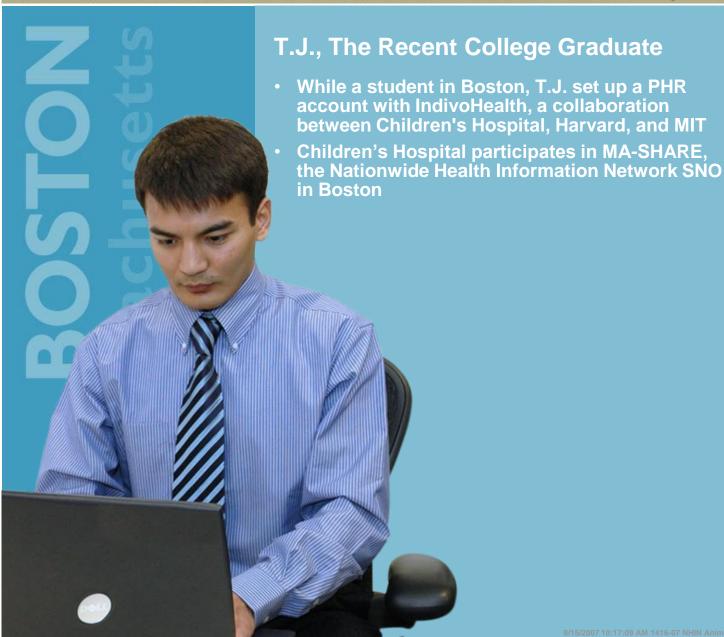
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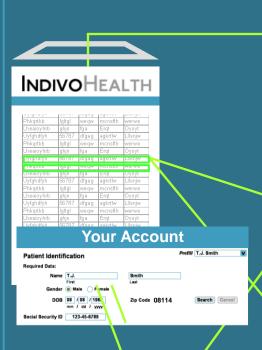
STRENGTHS OF OUR APPROACH

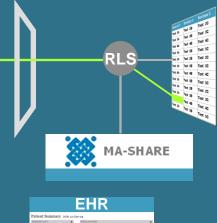
DISCUSSION

TECHNICAL ARCHITECTURE

Populate a New PHR with EHR data

- 1. Care provider recommends IndivoHealth PHR to patient
- 2. Provider's office verifies patient identity and creates account
- 3. Patient accesses IndivoHealth Web site and configures account
- Indivo queries EHRs for clinical data as requested by patient
- 5. Patient annotates and updates record
- 6. Patient consents to make PHR available to authorized clinicians













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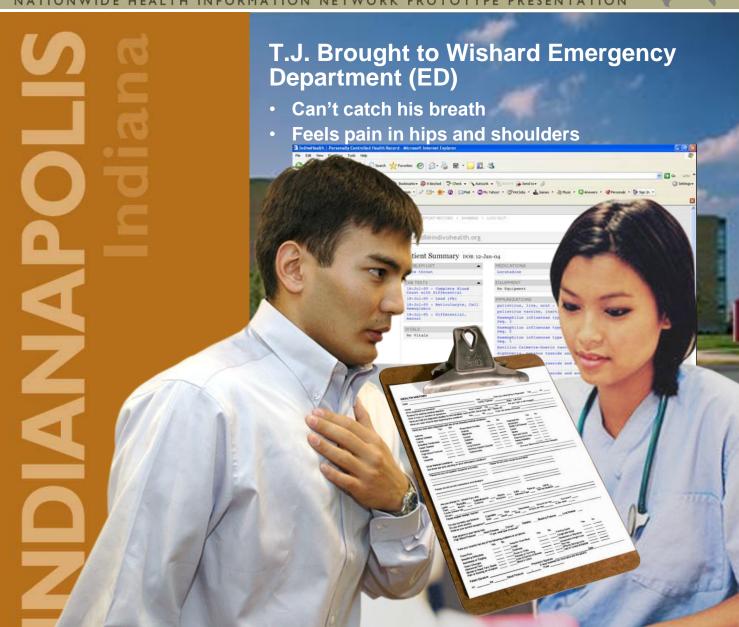
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MA-SHARE

Gateway

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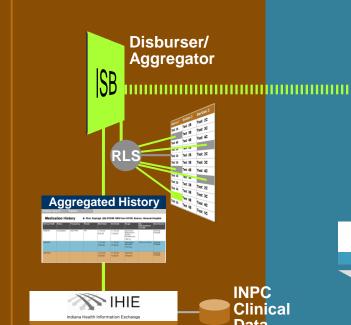
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TECHNICAL ARCHITECTURE

Clipboard Data Retrieved from PHR

- **Registration triggers** ADT message to IHIE
- Publish and lookup for 2. local data
- **Based on patient** informing registrar of health records in Boston, lookup query sent to MA-SHARE
- **Return found** IndivoHealth data
- Retrieve and aggregate patient data
- Registration, insurance, medical history in clinical abstract printout (identified as sourced from PHR)



INPC Clinical Data Repository

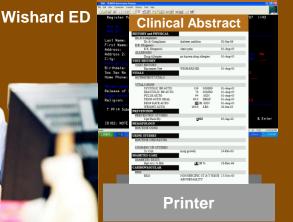


PHR

Registration

Medication History







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Rx Hub

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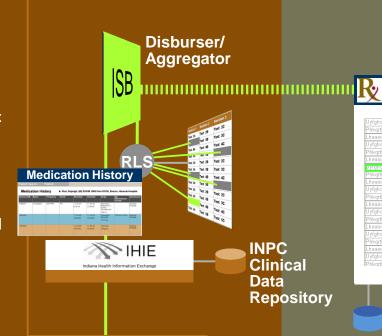
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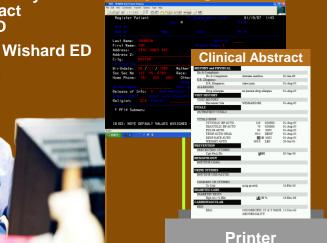
TECHNICAL ARCHITECTURE

Medication History Retrieved from RxHub

- 1. Emergency Department (ED) triggers ADT message
- 2. Sends lookup query to RxHub
- 3. RxHub RLS looks up patient and queries and retrieves medication history from remote Pharmacy Benefit Managers (PBMs)
- 4. Aggregates medication history
- 5. Medication history in clinical abstract printout in ED



Hospital Registration



PBMs Medication History

Patient Se	arch	Publish						
Medica	ation His	story	• Choi, D	ejongh. (M) 2/	23/69 1989 fi	rom 02128, Sc	urce: General	Hospital
Clinical Act#	Status	Frequency	Route	Start Date	End Date	Drugs	Qty Administered on Date	Data Source
1090351	Completed	QID PRN	PD	11-24-04 9.00:00	11-23-04	Aluminum Magnesium Hydrax Simethicone 148 mL		General Hospital
1891632				11-22-04 18:00:00	11-24-04 18:00:00	Dolasetron Mesylate 12.5 mg	1:00 on 2:41am	General Hospital
1891629				11-22-04 18:00:00	11-20-04	Ketorolac 15mg/mL		General Hospital



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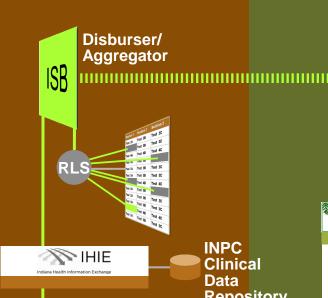
DISCUSSION

TECHNICAL ARCHITECTURE

Lab Results Retrieval

- 1. Wishard ED registration triggers ADT message
- 2. Publish and lookup for local data
- 3. Send lookup query to Mendocino HRE ISB
- 4. RLS identifies location of results in Mendocino HRE
- 5. ISB queries RLS and returns Mendocino lab documents
- 6. Retrieve results from **Mendocino HRE**
- 7. Lab values in clinical abstract printout in ED

Wishard ED

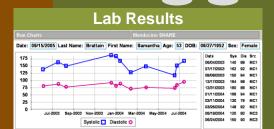


INPC Clinical Data Repository

RES MENDOCINO HEALTH RECORDS EXCHANGE

Hospital Registration







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TECHNICAL ARCHITECTURE

Health Data Safely Shared with Regional and National Authorities

- The completion of T.J.'s registration at Wishard triggers an ADT message
- 2. The data is de-identified and sent to the Indiana State Department of Health ESSENCE system
- 3. The Indiana Department of Health forwards data to the CDC using BioSense



Result Filter

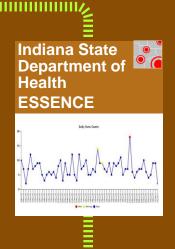
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Phkqrtkb	Igitgi	we gw	mensfth	werwe
h ealov hrb	ahin	fa a	Erat	Ovevt
Uyfghdfyh	56787	dfgag	agkrtlw	Lllsnjw
Phkqrtkb	ligitgi	wegw	mensith	werwe
Lh eaioy hrb	ghjn	fga	Erqt	Oyoyt
Jytgh dtyh	56787	ldtgag	agkrtlw	Llisnjw
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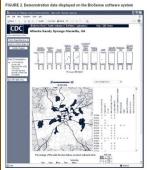


Hospital Registration

Register Pat			
ast Name: JI			
First Name: Ti Address: 2			
Address 2:	Zig	o Cd: 02122 State:	
City: 81			
Birthdate: 0	fother's First Name: N		
Sac Sec No 1: Ione Phone:	Race: 3 Sex: H Hi Other Phone: 781 - 1 Other Phone Code: Cl		
Religion:			
! PF14 Submer		ETE HOME PHONE ETE OTHER PHONE	& Enter
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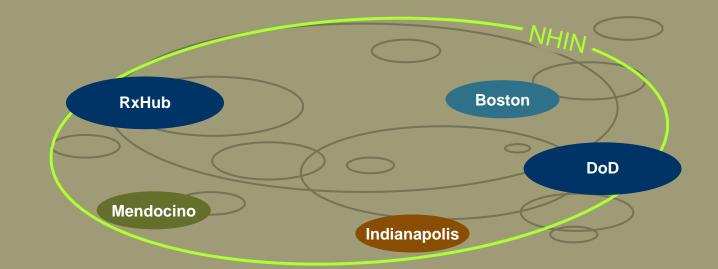
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TECHNICAL ARCHITECTURE

- A thin infrastructure for a federated "network of networks"
 - Flexible, scalable
 - Open, not proprietary
 - No national service provider (like the Internet)





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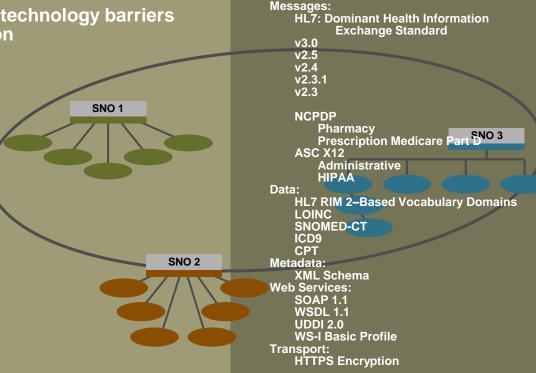
TECHNICAL ARCHITECTURE

Strengths of Our Approach

- A thin infrastructure for a federated "network of networks"
 - Flexible, scalable
 - Open, not proprietary
 - No national service provider (like the Internet)
- **Exchange of information achieved by adherence to Common Framework** standards and policies

Standards-based approach

 Low cost and technology barriers for participation





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 - No national service provider (like the Internet)
- **Exchange of information achieved by adherence to Common Framework** standards and policies
- Standards-based approach
 - Low cost and technology barriers for participation
- **Neutral platform for innovation**

Development Standards Supported:

























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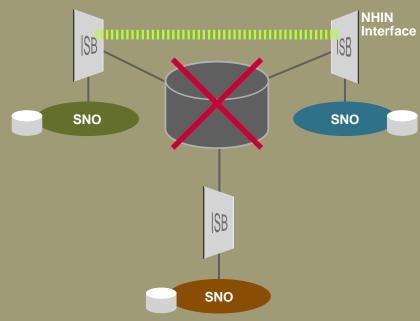
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- Private and secure
 - No centralized data repositories
 - Queries are targeted and authorized among trusted parties





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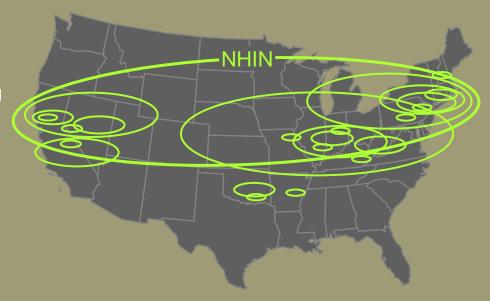
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- Exchange of information achieved by adherence to Common Framework standards and policies
- Standards-based approach
 - Low cost and technology barriers for participation
- Neutral platform for innovation
- Private and secure
 - No centralized data repositories
 - Queries are targeted and authorized among trusted parties
- Practical, operational
 - Focused on the network, not on applications
 - Standards are already in widespread use





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